

Inspection Department

Ref: MSEI/INSP/4355/2016

July 15, 2016

Sub: Client Registration Documents In vernacular Languages

In terms of the provisions of Rules, Bye-Laws and Regulations of the Metropolitan Stock Exchange of India Ltd. (Exchange), the Members are notified as under:

SEBI, vide circular no. CIR/MIRSD/16/2011 dated August 22, 2011 had issued formats of client registration documents. In order to facilitate better understanding of the registration documents by the investors, Exchange is pleased to provide the following documents in 15 regional vernacular languages:

1. Document stating the Rights & Obligations of stock broker, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet / wireless technology based trading)
2. Uniform Risk Disclosure Documents (for all segments / exchanges)
3. Guidance Note detailing Do's and Don'ts for trading on exchanges

The above mentioned documents in the vernacular languages are available on Exchange website at <http://www.msei.in/members/compliance/kyc.aspx> and can be downloaded. Members are advised to make available the documents to their clients on demand and also display the same on their own website.

It may be noted that the documents are a translated version of the original documents in English. In case of any ambiguity the contents of the English version would prevail.

Members are requested to take note of the same.

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In case of any query, members may contact the Compliance team on compliancemsx@msei.in

Metropolitan Stock Exchange of India Limited

(Formerly known as MCX Stock Exchange Ltd.)

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