

**Annexure –B**

**Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites**

**Data for every month ending October-2023**

S N	Receive d from	Carried forward from previou s month	Receive d during the month	Total Pend in g	Reso lve d*	Pending at the end of the month**		Average Resoluti on time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORE S)	0	0	0	0	0	0	0
3	Stock Exchange es	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	<b>Grand Total</b>	0	0	0	0	0	0	0

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	November-2022	0	0	0	0
2	December-2022	0	1	0	1
3	January-2023	1	0	1	0
4	February-2023	0	0	0	0
5	March-2023	0	0	0	0
6	April-2023	0	0	0	0
7	May - 2023	0	0	0	0
8	June-2023	0	0	0	0
09	July-2023	0	0	0	0
10	August-2023	0	0	0	0
11	September-2023	0	0	0	0
	<b>Grand Total</b>	1	1	1	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

S N	Year	Carried forward from previous year	Received During the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	5	5	0
2	2018-19	0	8	8	0
3	2019-20	0	2	2	0
4	2020-21	0	5	5	0
5	2021-22	0	5	5	0
6	2022-23	0	1	1	0
	<b>Grand Total</b>	0	26	26	0